

**Financial Advisor Request for Proposals
Questions & Answers
1/13/2017**

1. What is the current asset size of the Community Behavioral Health (CBH) 403b retirement plan?

The current plan value is \$30,660,751.09.

2. How many active participants are in the plan and what is the plan's enrollment rate?

There are approximately 400 participants.

3. Does CBH make contributions on behalf of plan participants or is the plan supplemental only?

Yes, CBH makes contributions to individuals' plans.

4. Is the plan considered ERISA or non-ERISA?

ERISA.

5. Does CBH already have an active 403(b) plan or would this plan be a start up?

CBH already has an active 403(b) plan.

6. Do you work with an existing independent investment advisor specializing in 403(b) plan consulting?

Yes, CBH is currently working with an existing advisor for our 403(b) plan.

7. How long has the current advisor been providing services?

Since 2007.

8. Is the current advisor allowed to bid in this RFP?

Yes.

9. What is the expected date for the RFP process to select the next TPA?

We hope to have a contract in place by April 1, 2017

10. Is the advisor's education/communication services requested in the scope supplementing and/or coordinating the education & communication services already provided by the TPA?

Whether you discuss supplementing existing education/communication services, or rethinking this aspect of our service as a whole, CBH is interested in a proven, evidence-based approach to educating our participants.

11. On page 7 of the RFP document, 2.d References state to “List a minimum of ten non-profit 403(b) plans you manage,” would CBH be willing to accept 401(k) and 457(b) government plans be acceptable as client references?

Yes

12. Is there anything you would like the new advisor to do differently, improve and/or provide additional services?

CBH would prefer to leave suggested other services up to the Applicants in their responses.

13. Would you like fiduciary education workshops to be implemented for the investment committee by the consultant?

Yes.

14. What are the current activities, individual and group meeting activities in the last 12 months, involved at the retirement seminars mentioned in the RFP scope?

There has not been a lot of activity in the past calendar year.

15. Are the current activities meeting CBH’s expectations?

No.

16. Would you be interested in the consultant providing a review of current TPA education plan and materials?

Yes.

17. Are there any special forms to document MBE/WBE contract participation?

Each State has its own designation/documentation. Please provide anything you feel might qualify you as an M/W/DBE organization.